Claims

- 1 1. A network storage architecture supporting securely controlled access and
- 2 transfer of data between a client computer system and a network data store, said
- 3 network storage architecture comprising:
- 4 a) an agent program, executed on a client computer system, operative with
- 5 respect to an application program, executable by said client computer system to
- 6 access a network data store, to develop authentication data with respect to said
- 7 application program; and
- b) a network appliance, coupleable through a communications network to
- 9 said client computer system, interoperable with said agent program to receive and
- 10 validate said authentication data, said network appliance providing a response
- message to said agent program to control execution of said application program.
- 1 2. The network storage architecture of Claim 1 wherein said authentication
- 2 data includes user and session data.
- 1 3. The network storage architecture of Claim 2 wherein said authentication
- 2 data includes a secure signature of said application program.
- 1 4. The network storage architecture of Claim 1 wherein said agent program
- 2 is operative to obtain user authentication and collect data with respect to user
- 3 sessions and processes to develop said authentication data.

- 1 5. The network storage architecture of Claim 4 wherein said agent program
- 2 is further operative to generate a secure signature of said application program
- 3 and provide said secure signature as part of said authentication data.
- 1 6. The network storage architecture of Claim 1 wherein said network
- 2 appliance includes a policy parser operative to evaluate said authentication data
- 3 and a policy data store including predetermined policy data accessible by said
- 4 policy parser.
- 1 7. The network storage architecture of Claim 6 wherein said predetermined
- 2 policy data, as evaluated by said policy parser, is determinative of said response
- 3 message.
- 1 8. A network storage architecture supporting securely controlled access and
- 2 transfer of data between a client computer system and a network data store, said
- 3 network storage architecture comprising:
- 4 a) an agent program, executed on a client computer system, responsive to
- 5 a source file request issued with respect to a network data store by an application
- 6 program executed by said client computer system, said agent program being
- 7 operative to develop authentication data with respect to said application program
- 8 and to provide a file request message including a representation of said source
- 9 file request and said authentication data; and
- b) a network appliance, coupleable through a communications network to
- 11 said client computer system and responsive to said file request message, said
- 12 network appliance including a policy parser operative to evaluate said file request

- 13 message and a policy data store including predetermined policy data accessible
- 14 by said policy parser, said network appliance, responsive to the evaluation of said
- 15 file request message, enabling performance of said source file request with
- 16 respect to said network data store.
- 1 9. The network storage architecture of Claim 8 wherein said authentication
- 2 data includes an authenticated identification of a user associated with said
- 3 application program.
- 1 10. The network storage architecture of Claim 9 wherein said authentication
- 2 data includes user session and context data.
- 1 11. The network storage architecture of Claim 10 wherein said authentication
 - 2 data includes a secure signature of said application program.
 - 1 12. The network storage architecture of Claim 8 wherein said network
 - 2 appliance enables the generation of a modified file request corresponding to said
 - 3 source file request and directed to said network data store.
 - 1 13. The network storage architecture of Claim 12 further comprising a first
 - 2 communications network through which said file request message is received by
 - 3 said network appliance and a second communications network through which
 - 4 said modified file request is provided to said network data store.

- 1 14. The network storage architecture of Claim 13 wherein said network
- 2 appliance includes an encryption unit and wherein said network appliance further
- 3 provides for the cipher processing of file data transferred in connection with said
- 4 modified file request.
- 1 15. The network storage architecture of Claim 14 wherein said policy data
 - 2 store further provides for the storage of an encryption key identifier determinable
 - 3 by said policy parser on evaluation of said file request message and wherein said
 - 4 network appliance obtains an encryption key identified by said encryption key
 - 5 identifier for use in the cipher processing of file data transferred in connection with
 - 6 said modified file request.
 - 1 16. The network storage architecture of Claim 15 wherein said authentication
 - 2 data includes a process identifier, corresponding to said application program as
 - 3 executed on said client computer system, a verified user identifier, and a group
- 4 identifier, and wherein said policy parser is operative to qualify said file request
- 5 message against said predetermined policy data with respect to said process
- 6 identifier, verified user identifier, and group identifier.
- 1 17. A method of securing access by a client computer system to file data stored
- 2 on a storage device accessible by said client computer system, said method
- 3 comprising the steps of:
- 4 a) intercepting, by a first program as executed on a client computer system,
- 5 a data transfer request issued by a second program, as executed on said client

- 6 computer system, directed to a data file stored by a client accessible file data
- 7 store;
- 8 b) first processing, by said first program, said data transfer request to
- 9 associate authentication data with said data transfer request;
- 10 c) evaluating, by a security appliance coupled to said client computer
- 11 system through a communications network, said data transfer request, said
- 12 authentication data, and access control data corresponding to said data file to
- 13 qualify said data transfer request; and
- 14 d) second processing to selectively enable said data transfer request to
- 15 proceed relative to said data file dependent on the qualification of said data
- 16 transfer request.
- 1 18. The method of Claim 17 wherein said authentication data includes process
- 2 and context identification information.
- 1 19. The method of Claim 17 wherein said authentication data includes a
- 2 verified user identifier and a process identifier.
- 1 20. The method of Claim 17 wherein said authentication data includes a
- 2 verified user identifier, a process identifier, a group identifier.
- 1 21. The method of Claim 17 wherein said data transfer request specifies a
- 2 data range of file data and wherein said second processing step includes the step
- 3 of modifying said data range to accommodate block encryption of file data within
- 4 said data file.

- 1 22. The method of Claim 17 wherein said step of evaluating associates
- 2 encryption control data with said data transfer request and wherein said second
- 3 processing step, responsive to said encryption control data, includes cipher
- 4 processing of file data transferred in connection with said data transfer request.
- 1 23. The method of Claim 22 further comprising the steps of:
- a) first transferring said data transfer request to said security appliance
- 3 through a first communications network; and
- 4 b) second transferring said data transfer request relative to said client
- 5 accessible file data store through a second communications network.
- 1 24. The method of Claim 23 wherein, through said first and second
- 2 transferring steps, said security appliance is established a network portal through
- 3 which network file accesses are routed between said client computer system and
- 4 said client accessible file data store.
- 1 25. A method of securing file access operations by a client computer system
- 2 made with respect to a client accessible file data store, said method comprising
- 3 the steps of:
- 4 a) intercepting, by a first program executing on a client computer system,
- 5 file operation requests issued by a second program, as executing on said client
- 6 computer system, wherein said file operation requests are issued with respect to
- 7 files stored in a filesystem accessible by said client computer system;

- b) determining, by said first program relative to a predetermined file
- 9 operation request, authentication data for said second program, wherein said
- 10 authentication data includes user and process identification data and a
- 11 representation of said predetermined file operation request; and
- c) enabling, by a security appliance responsive to said authentication data,
- 13 said predetermined file operation request with respect to a file identified by said
- 14 predetermined file operation request, wherein said enabling step is dependent on
- 15 qualification, by said security appliance, of said authentication data against policy
- 16 data defining operation permissions relative to said file.
- 1 26. The method of Claim 25 further comprising the steps of:
- a) associating an encryption key with said predetermined file operation
- 3 request determined from the qualification of said authentication data against said
- 4 policy data; and
- 5 b) cipher processing, using said encryption key, file data transferred relative
- 6 to said file.
- 1 27. The method of Claim 26 wherein said step of cipher processing includes
- 2 modifying the specification of said predetermined file operation request to
- 3 accommodate encryption of file data transferred relative to said file.
- 1 28. The method of Claim 27 wherein said step of cipher processing is
- 2 performed on said security appliance.

- 1 29. The method of Claim 28 wherein said authentication data includes a
- 2 verified user identification and a login process identification.

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- 1 30. A security appliance for securing access by client computer systems to persistently stored data files, said security appliance comprising:
 - a) a processor coupleable to a client computer system to receive an access request message, wherein said access request message includes authentication data and an identification of a file operation directed to an identified data file stored in a persistent data file store; and
 - b) a policy data store, accessible by said processor, providing for the storage of predetermined file operation qualifiers applicable to data files present in said persistent data file store, wherein said policy data store is maintained secure by said processor with respect to said client computer system, and wherein said processor is operative to selectively enable said file operation dependent on an evaluation of said predetermined file operation qualifiers with respect to said access request message.
- 31. The security appliance of Claim 30 wherein said authentication data includes a verified user identifier and a group identifier and wherein said processor is operative to discriminate said verified user identifiers, said group identifier, said file operation and said identified data file against said predetermined file operation qualifiers to obtain said evaluation.
- 1 32. The security appliance of Claim 31 wherein said policy data store further 2 provides for the storage of encryption keys in association with said predetermined

- 3 file operation qualifiers and wherein said processor is operative to retrieve a
- 4 predetermined encryption key from said policy data store dependent on said
- 5 evaluation.
- 1 33. The security appliance of Claim 32 wherein said processor, responsive to
- 2 said evaluation, is further operative to provide for said file operation to be passed
- 3 to said persistent data file store.
- 1 34. The security appliance of Claim 33 wherein said processor, responsive to
- 2 said evaluation, is further operative to modify a specification of said file operation
- 3 to accommodate the transfer of encrypted data in connection with the
- 4 performance of said file operation with respect to said identified data file.
- 1 35. The security appliance of Claim 34 wherein said processor includes an
- 2 encryption engine operative to process encrypted data transferred with respect to
- 3 said identified data file.